



**THE  
SCHEME OF  
DELEGATION  
2022/23**

Unity Schools Partnership

**MAKING REMARKABLE  
CHANGE HAPPEN**

# UNITY SCHOOLS PARTNERSHIP SCHEME OF DELEGATION

Revised 2022/23

We share responsibility that every school provides every child with the very best education we can provide.

The Scheme of Delegation outlines the different areas of responsibilities for Unity Schools Partnership, the trustees, the senior executives, the Local Governing Body and the Headteacher. It has been divided into sub areas in which the responsibilities of each group are shown. The role of the Local Governing Bodies (LGBs) has evolved, and governors are now focusing more on the quality of education and the curriculum to ensure that every child is receiving a broad and balanced education, improving outcomes for all pupils, and wider community engagement. This Scheme of Delegation should be read in conjunction with The Trust Handbook for Local Governance which provides additional context and detail about LGB responsibilities and individual Governor roles.

The Trust Board is the overall accountable body for the Trust. However, the Trust is committed to local governance and sees the role of local governors as working with the trust central executive team to ensure the best possible outcomes and opportunities for all pupils in our schools.

## The role of Members

The members of the trust have a different status to trustees. The members appoint trustees to ensure that the trust's charitable object is carried out and so must be able to remove trustees if they fail to fulfil this responsibility. Members are responsible for approving any amendments made to the trust's articles of association.

In order to retain a degree of separation of powers between the members and the trust board, and in line with DfE expectations, the chair of the trust board is the only trustee who is also a member. Members are not permitted to be employees of the academy trust.

## The role of Trustees

The trustees are the charity trustees (within the terms of section 177(1) of the Charities Act 2011) and are responsible for the general control and management of the administration of the trust in accordance with the provisions set out in the memorandum and articles of association. The board of trustees is the accountable body for the performance of all schools within the trust and as such must:

- Ensure clarity of vision, ethos and strategic direction
- Hold the executive to account for the educational performance of the schools and their pupils, and the performance management of staff
- Oversee the financial performance of the trust and make sure its money is well spent

Because trustees are bound by both charity and company law, the terms 'trustees' and 'directors' are often used interchangeably. We use the term trustee as it avoids the possible confusion caused when executive leaders are called directors but are not company directors and trustees.

## **The role of the Local Governing Body (LGB)**

The trust board has established an LGB for each school. It appoints the chair (generally as recommended by the LGB), expecting headteachers to ensure two parents and one member of staff are elected.

The role of the LGB is to work with colleagues in the trust to

- set, with the headteacher, the strategy and strategic objectives ensuring the trust's and school's vision, value and ethos are realised
- challenge and support, through monitoring and stakeholder engagements
- oversee safeguarding and wellbeing, putting our pupils, staff and community at the heart of what we do
- ensure compliance and effectiveness, ensuring adherence to the Trust vision, values and ethos

## **The role of the Chief Executive**

The Chief Executive has the delegated responsibility for the operation of the trust including the performance of the trust's academies and so manages the academy headteachers.

The Chief Executive is the accounting officer so has overall responsibility for the operation of the academy trust's financial responsibilities and must ensure that the organisation is run with financial effectiveness and stability, avoiding waste and securing value for money. The Chief Executive leads the executive management team of the academy trust and will delegate executive management functions to the executive management team and is accountable to the trust board for the performance of the executive management team.

## **The role of Headteacher**

Each headteacher is responsible for the leadership and management of the school.

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## 1. Governance

Trustees	Chief Executive and central trust team	Local Governing Body	Headteacher
<ul style="list-style-type: none"> <li>• are legally responsible for the charities, schools and their governance</li> <li>• are responsible for the Board’s own processes in line with the legal requirements as set down in the Articles of Association and, for academies, the Master Funding Agreement</li> <li>• have legal responsibility for statutory compliance</li> <li>• are responsible for the establishment of LGBs</li> <li>• delegate certain responsibilities to the LGBs and to senior executives</li> <li>• are responsible for succession planning and the recruitment to the board to ensure an appropriate balance of skills and effectiveness</li> <li>• are responsible for ensuring that Trustees have the right level of training</li> <li>• are responsible for new Trustee induction</li> <li>• are responsible for evaluating their own processes and effectiveness</li> <li>• are responsible for declaring any business interests</li> </ul>	<ul style="list-style-type: none"> <li>• are responsible for ensuring the establishment of LGBs.</li> <li>• works with the LGBs to enable them to discharge their duties in relation to statutory compliance and the performance of schools</li> <li>• supports the LGBs with their training and new LGB member induction</li> <li>• provides the Trust Board and LGBs with advice and guidance to help them improve and evaluate their processes and effectiveness</li> <li>• makes recommendations to the Trustees on local governance model(s)</li> <li>• reviews the independence and professionalism of clerking to ensure that LGBs have a high quality clerking service to drive improved governance</li> <li>• Support and advise on LGB self-reviews as required</li> </ul>	<p>Working with the Trust Head of Governance</p> <ul style="list-style-type: none"> <li>• is responsible for succession planning and recommending the recruitment of LGB members to ensure an appropriate balance of skills and effectiveness</li> <li>• is responsible for ensuring that members have the right level of training, particularly with regards to Safeguarding, the Prevent Duty and Special Educational Needs (SEND)</li> <li>• is responsible for ensuring new LGB member’s receive appropriate induction</li> <li>• is responsible for maintaining its register of business interests</li> <li>• is responsible for ensuring governors declare any business interests they have in relation to the school</li> <li>• through the Clerk, is responsible for ensuring governor information / membership details are up to date on Governor Hub undertakes regular self-review to evaluate effectiveness, address skills-gaps and to aid governor recruitment</li> </ul>	<ul style="list-style-type: none"> <li>• is responsible for securing provision of administrative support and, in consultation with the Governance Adviser, independent and professional clerking for the LGB.</li> <li>• Is responsible for ensuring the Education Information Portal (EIP) is kept up to date with governance information</li> <li>• Is responsible for ensuring the DfE’s GIAS database is kept up to date with required governance information</li> <li>• Is responsible for ensuring governor information is up to date on the school’s website</li> </ul>

## 2. Strategy

Trustees	Chief Executive and central trust team	Local Governing Body	Headteacher
<ul style="list-style-type: none"> <li>in conjunction with the Chief Executive and central trust team, develop, review and agree the overall vision, strategy and long term objectives for Unity Schools Partnership.</li> <li>approve key performance indicators against which to monitor implementation of strategy and long term objectives</li> </ul>	<ul style="list-style-type: none"> <li>Develops strategies and proposals for consideration by the Trustees</li> <li>are responsible for implementing the Board's strategy</li> <li>develops and monitors key performance indicators against the strategy and long term objectives</li> <li>working with LGBs, holds schools accountable for implementing their strategic plan.</li> </ul>	<ul style="list-style-type: none"> <li>is responsible for overseeing the implementation of the Board's strategy as it applies to the school</li> <li>(with Headteacher) is responsible for developing the school's Strategic Plan</li> <li>(with Headteacher) oversees the development and regular updating of the school's Development / Improvement Plan, based on accurate self-evaluation</li> </ul>	<ul style="list-style-type: none"> <li>(with LGB) is responsible for developing the school's Strategic Plan</li> <li>(with LGB) is responsible for developing the school's annual Development / Improvement Plan, based on accurate self-evaluation</li> <li>is responsible for implementing the Strategic Plan and the Development / Improvement Plan.</li> </ul>

## 3. Communication and Training

Trustees	Chief Executive and central trust team	Local Governing Body	Headteacher
<ul style="list-style-type: none"> <li>communicate a clear vision, strategy and long term objectives for Unity Schools Partnership.</li> </ul>	<ul style="list-style-type: none"> <li>ensures good communication between the Board, Headteacher and school, and LGB Chair.</li> <li>ensures local governors understand their role and responsibilities</li> <li>ensures access to a high quality training to enhance the role of governors and Trustees</li> </ul>	<ul style="list-style-type: none"> <li>LGB Chair (with Headteacher) is responsible for ensuring LGB members have all the information they require to be well informed about both the school and Unity Schools Partnership</li> <li>LGB Chair (with Headteacher) is responsible for ensuring the activities of the LGB are communicated clearly to the school community</li> <li>ensures (with the Headteacher) stakeholders' views are taken into account and responded to appropriately</li> <li>engages with training opportunities provided by the Trust and shares learning with LGB members.</li> <li>uses GovernorHub for both meeting papers and communication with the trust central team and other governors</li> </ul>	<ul style="list-style-type: none"> <li>(with LGB Chair) is responsible for ensuring LGB members have all the information they require to be well informed about both the school and Unity Schools Partnership</li> <li>(with LGB Chair) is responsible for ensuring the views of the LGB are well communicated to Trustees and Central Office.</li> </ul>

## 4. Welfare and Child Protection

Trustees -	Chief Executive and central trust team -	Local Governing Body -	Headteacher -
<ul style="list-style-type: none"> <li>• are accountable for setting overall policies for safeguarding and child protection to ensure appropriate action is taken in a timely manner to safeguard and promote children’s welfare</li> <li>• appoint a named safeguarding Trustee</li> <li>• set the strategic vision for the spiritual, moral, social and cultural development of pupils.</li> <li>• review on an annual basis each school’s safeguarding policy and practices.</li> <li>• monitor data in relation to safeguarding incidents and their resolution</li> </ul>	<ul style="list-style-type: none"> <li>• provides safeguarding and child protection guidance for all schools, in line with statutory and non-statutory national guidance</li> <li>• monitors the implementation of the safeguarding and child protection guidance</li> <li>• carries out safeguarding audit visits to monitor compliance with policies and effectiveness of practice</li> <li>• are responsible for monitoring that each LGB is effectively scrutinising statutory compliance with respect to safeguarding and child protection for all schools on behalf of the Board</li> <li>• are responsible for monitoring statutory compliance with respect to the quality of spiritual, moral, social and cultural development of pupils on behalf of the Board</li> <li>• ensures all LGB members have the appropriate child protection checks and are recorded on the Single Central Record.</li> </ul>	<ul style="list-style-type: none"> <li>• appoints a Governor to have specific responsibility for welfare and child protection in the school, reporting to the full LGB as appropriate</li> <li>• monitors, supports and challenges welfare and child protection in the school including (i) child protection (ii) exclusions (iii) serious disciplinary incidents (iv) attendance and (v) behaviour</li> <li>• appointed governor carries out termly check on single central record</li> <li>• is responsible for knowing and understanding the school’s own evaluation of the quality of spiritual, moral, social and cultural development of pupils</li> <li>• is responsible for approving Type 2 visits</li> </ul>	<ul style="list-style-type: none"> <li>• is responsible for safeguarding and child protection within the school</li> <li>• is responsible for identifying risks to effective safeguarding and taking action to reduce potential risks</li> <li>• is responsible for the quality of spiritual, moral, social and cultural development of pupils</li> <li>• is responsible for ensuring that school policies and practices take into account the procedures and practice of the local authority</li> <li>• is responsible for providing reports on welfare and child protection to the LGB and to the Central Team</li> <li>• is responsible for appointing an Educational Visits Co-ordinator (EVC) and for approving educational visits.</li> </ul>

## 5. Review of local school policies, processes and procedures

Trustees	Chief Executive and central trust team	Local Governing Body	Headteacher
<ul style="list-style-type: none"> <li>• review and approve, on an annual basis, schools' child protection policies on the recommendation of the Trust's named Safeguarding Trustee</li> <li>• review and approve 'Supporting pupils with medical needs' policy</li> <li>• review and approve the Trust's Health and Safety policy</li> </ul>	<ul style="list-style-type: none"> <li>• are responsible for the development of policies, policy guidance and compliance checklists</li> <li>• monitor schools' adherence to the cycle of policy reviews</li> </ul>	<ul style="list-style-type: none"> <li>• ensures adherence to a regular cycle of school policy reviews</li> <li>• notes Trust wide policies and their implications for the school</li> <li>• ensures that practice within the school reflects most up-to-date policy documents</li> <li>• ensures a cycle of process and procedures scrutiny is being undertaken e.g. attendance registers, complaints log, work experience procedures</li> <li>• approves other required school policies.</li> </ul>	<ul style="list-style-type: none"> <li>• provides regular updating of all school policies</li> <li>• provides regular updating of process and procedures e.g. attendance registers, complaints log, work experience procedures</li> <li>• ensures that the school's Health and Safety policy is reviewed in accordance with Trust requirements and / or at a frequency determined by local risk assessment</li> </ul>

## 6. School Improvement

Trustees	Chief Executive and central trust team	Local Governing Body	Headteacher
<ul style="list-style-type: none"> <li>• create robust accountability, oversight and assurance for educational performance</li> <li>• hold executive leaders to account for the educational performance of Unity Schools Partnership and its pupils, and the performance management of staff</li> <li>• are accountable for the attainment and progress of disadvantaged pupils who attract the pupil premium, catch up and other targeted payments.</li> </ul>	<ul style="list-style-type: none"> <li>• holds schools accountable for improvement and high academic standards</li> <li>• sets annual performance targets for schools relating to academic performance</li> <li>• reports to Trustees on schools' performance against targets</li> <li>• are responsible for monitoring the school's performance</li> <li>• are responsible for monitoring the school's response to the recommendations of its last inspection</li> <li>• are responsible for monitoring pupils' progress and attainment</li> <li>• are responsible for monitoring progress and attainment of different groups of pupils (including but not limited to pupil premium and others facing</li> </ul>	<ul style="list-style-type: none"> <li>• is responsible for supporting the school in its self-evaluation of significant strengths and weaknesses</li> <li>• is responsible for knowing, understanding and challenging the school's response to the recommendations of its last inspection</li> <li>• is responsible for knowing, understanding and challenging pupils' overall progress and attainment</li> <li>• appoints Governors to have specific responsibility for Pupils with Special Needs; Pupils who attract Pupil Premium funding and Looked After Children and who report to the full LGB as appropriate</li> <li>• (In Secondary academies) appoint a Governor with responsibility for Careers.</li> </ul>	<ul style="list-style-type: none"> <li>• in conjunction with the LGB, is responsible for the accurate self-evaluation of the school, its strengths and weaknesses and for implementing a plan of action to improve and develop based on the evaluation</li> <li>• has a detailed understanding of pupils' attainment and progress (and the attainment and progress of specific groups), underpinned by sound evidence (including at individual pupil level)</li> <li>• ensures appropriate action is taken in a timely, consistent and strategic way to address areas of weakness and improve academic performance, drawing</li> </ul>



	<p>financial disadvantage, special educational needs and disability, looked after children, different ethnic groups with English as an Additional Language, most able pupils, differing ability cohorts).</p>	<ul style="list-style-type: none"> <li>with the central trust team, is responsible for knowing, understanding and challenging progress and attainment of different groups of pupils (including but not limited to pupil premium and others facing financial disadvantage, special educational needs and disability, looked after children, different ethnic groups with English as an Additional Language, most able pupils, differing ability cohorts).</li> </ul>	<p>on the best available evidence and monitoring impact</p> <ul style="list-style-type: none"> <li>is responsible for high quality education which includes a wide and rich curriculum</li> <li>is responsible for ensuring all groups of pupils, particularly SEND and disadvantaged pupils, have access to a wide and rich curriculum.</li> </ul>
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## 7. Quality of Teaching

<b>Trustees</b>	<b>Chief Executive and central trust team</b>	<b>Local Governing Body</b>	<b>Headteacher</b>
<ul style="list-style-type: none"> <li>monitor and evaluate the quality of teaching within the Trust and ensure that sufficient resources are allocated to deliver the highest possible quality of teaching</li> </ul>	<ul style="list-style-type: none"> <li>along with the LGB, hold schools accountable for its programme of improving teaching</li> <li>are responsible for monitoring statutory compliance on behalf of the Board</li> </ul>	<ul style="list-style-type: none"> <li>is responsible for knowing and understanding the school's own evaluation of the quality of teaching</li> <li>with the central trust team is responsible for supporting and challenging the school in its programme of improvement</li> </ul>	<ul style="list-style-type: none"> <li>is responsible for the quality of teaching in the school</li> <li>is responsible for the accurate self-evaluation of the quality of teaching, its strengths and weaknesses and for taking action to improve the quality of teaching</li> </ul>

## 8. Curriculum

Trustees	Chief Executive and central trust team	Local Governing Body	Headteacher
<ul style="list-style-type: none"> <li>are responsible for oversight of the curriculum as part of the overall strategy for school improvement</li> </ul>	<ul style="list-style-type: none"> <li>proposes and develops the curriculum that delivers the Trust's strategy</li> <li>holds schools accountable for implementation of the curriculum across Unity Schools Partnership</li> <li>holds schools accountable for the development and implementation of its curriculum policy and, where appropriate, qualifications policy</li> <li>holds schools accountable for the development and implementation of its co-curricular provision</li> <li>are responsible for monitoring statutory curriculum compliance on behalf of the Board</li> </ul>	<ul style="list-style-type: none"> <li>is responsible for knowing and understanding the school's own evaluation of the quality of its curricular and co-curricular provision</li> <li>challenges and advises the school in the development and implementation of its curriculum policy and, where appropriate, qualifications policy</li> <li>supports, challenges and advises the school in the development and implementation of its co-curricular provision</li> </ul>	<ul style="list-style-type: none"> <li>is responsible for the development and implementation of the school's co-curricular provision</li> <li>is responsible for monitoring and evaluating the impact of the school's curricular and co-curricular provision</li> </ul>

## 9. Early years foundation stage (EYFS) (as applicable)

Trustees	Chief Executive and central trust team	Local Governing Body	Headteacher
<ul style="list-style-type: none"> <li>receive reports on compliance, standards and proposed actions to address issues</li> <li>review data on EYFS performance across all Unity Schools Partnership schools with EYFS provision</li> </ul>	<ul style="list-style-type: none"> <li>are responsible for monitoring statutory compliance with respect to EYFS.</li> <li>reviews data on EYFS performance</li> <li>holds schools accountable for with respect to EYFS provision</li> </ul>	<ul style="list-style-type: none"> <li>is responsible for monitoring the school's evaluation of the quality of EYFS provision.</li> <li>is responsible for knowing, understanding and challenging pupils' overall progress and attainment</li> </ul>	<ul style="list-style-type: none"> <li>is responsible for the quality of provision in the EYFS, and for implementing actions to address weaknesses.</li> </ul>

## 10. Provision of information

Trustees	Chief Executive and central trust team	Local Governing Body	Headteacher
<ul style="list-style-type: none"> <li>• are accountable for the provision of clear and regular information to a host of constituent interests</li> <li>• are accountable for statutory compliance</li> </ul>	<ul style="list-style-type: none"> <li>• monitors statutory compliance on behalf of the Board</li> <li>• are responsible for monitoring statutory compliance in relation to the information provided to the regulators, parents and others on behalf on the Board</li> <li>• provides guidance and model policies</li> <li>• supports schools to meet GDPR requirements</li> </ul>	<ul style="list-style-type: none"> <li>• is responsible for monitoring the quality of information provided by the school</li> <li>• is responsible for supporting and challenging the school on the quality of information</li> <li>• is responsible for reviewing school policies and their implementation on an annual schedule.</li> <li>• Receives and engages with information provided to regulators, parents, prospective parents and the local community</li> <li>• Understands and complies with GDPR requirements with regards to information sharing</li> </ul>	<ul style="list-style-type: none"> <li>• is responsible for the development and implementation of school policies in line with statutory requirements and Unity Schools Partnership guidance, including GDPR requirements</li> <li>• ensures LGB access to all information provided to regulators, parents, prospective parents and the local community</li> <li>• ensures the information provided by the school is of a high quality.</li> </ul>

## 11. Links with parents, carers, guardians and the wider community

Trustees	Chief Executive and central trust team	Local Governing Body	Headteacher
<ul style="list-style-type: none"> <li>• ensure development of the strategy for links with parents, carers and guardians</li> <li>• hold reputational responsibility</li> </ul>	<ul style="list-style-type: none"> <li>• develops strategies for links with parents, carers and guardians</li> </ul>	<ul style="list-style-type: none"> <li>• is responsible for monitoring the school's links with parents, carers, guardians and the wider community</li> <li>• is responsible for supporting and challenging the school to improve and develop parental and community links</li> <li>• Engages with the stakeholders to ascertain that the school remains relevant to the expectations of the wider community</li> </ul>	<ul style="list-style-type: none"> <li>• is responsible for developing effective links with parents, carers, guardians and the wider community.</li> </ul>

## 12. The handling of complaints

Trustees	Chief Executive and central trust team	Local Governing Body	Headteacher
<ul style="list-style-type: none"> <li>• receive and where appropriate investigate complaints about the Chief Executive</li> <li>• receive and pass on to the relevant Executive complaints from the DfE, ESFA, Ofsted, ISI and similar bodies</li> </ul>	<ul style="list-style-type: none"> <li>• are responsible for monitoring statutory compliance in relation to complaints</li> <li>• provides guidance and policies on complaints handling</li> <li>• ensures complaints are directed appropriately</li> <li>• members of the trust central conduct investigations as directed by the CEO</li> </ul>	<ul style="list-style-type: none"> <li>• is responsible for handling and monitoring of complaints at the level set out in the relevant policies</li> <li>• is responsible for managing and hearing any appeals as part of the complaints process</li> <li>• receives regular reports from the Headteacher on all complaints.</li> </ul>	<ul style="list-style-type: none"> <li>• is responsible for responding to and dealing with all complaints in line with appropriate school/trust policies</li> <li>• provides the LGB with regular reports on all complaints.</li> </ul>

## 13. Inspection

Trustees	Chief Executive and central trust team	Local Governing Body	Headteacher
<ul style="list-style-type: none"> <li>• receive inspection reports and lessons learned</li> <li>• receive reports regarding proposed actions to address where schools need to improve</li> </ul>	<ul style="list-style-type: none"> <li>• provides support to the school prior to, during and after inspections.</li> <li>• offers training to governors in preparation for the inspection process</li> </ul>	<ul style="list-style-type: none"> <li>• understands the requirements of the inspection process and supports the Headteacher</li> <li>• is responsible for engaging fully with the inspection process</li> <li>• communicates the outcomes of the inspection process to parents, carers and guardians in line with statutory requirements</li> </ul>	<ul style="list-style-type: none"> <li>• is responsible for ensuring all staff and the LGB fully understand the requirements of the inspection process</li> </ul>

## 14. Compliance

Trustees	Chief Executive and central trust team	Local Governing Body	Headteacher
<ul style="list-style-type: none"> <li>• are accountable for compliance with all data protection legislation</li> <li>• appoint a suitably qualified Data Protection Officer (DPO)</li> <li>• ensure adequate resource for GDPR compliance</li> <li>• are accountable for compliance with the Public Sector Equality Duty including reviewing the Trust's Equalities Information annually and reviewing the objectives at least every 4 years</li> <li>• review the Scheme of Delegation on an annual basis and immediately after any change in Trust management or organisational structure.</li> </ul>	<ul style="list-style-type: none"> <li>• Provides a DPO</li> <li>• Decides the response to data breaches including reporting to Information Commissioner's Office (ICO)</li> <li>• Ensures Trust ICO notifications are accurate and up to date</li> <li>• Prepares, reviews and updates a suite of data protection policies</li> <li>• Ensures central office GDPR compliance</li> <li>• Trains school Data Protection Leads (DPLs) on policy and procedure</li> <li>• Audits schools' GDPR compliance</li> <li>• Updates DPLs on ICO guidance and decisions</li> <li>• Provides information and guidance on Public Sector Equality Duty compliance</li> <li>• ensures members of LGBs are compliant with the annual Trust expectations as set out in the USP Handbook for Governance</li> <li>• Updates the Scheme of Delegation for annual review by the Board</li> </ul>	<ul style="list-style-type: none"> <li>• Reviews GDPR compliance with DPL</li> <li>• Ensures compliance with Public Sector Equality Duty requirements for schools including reviewing the Equalities Information annually and reviewing the objectives at least every 4 years</li> <li>• meets trust compliance requirements for individual governors (e.g. annual governor code of conduct, pecuniary interests and safeguarding documentation)</li> </ul>	<ul style="list-style-type: none"> <li>• Appoints a Data Protection Lead (DPL) of sufficient seniority</li> <li>• Provides DPL with adequate support and resource to fulfil their role</li> <li>• Ensures compliance with Trust data protection policies and procedures</li> <li>• Ensures all staff have seen the GDPR video briefing</li> <li>• Ensures staff understand the requirements of the Public Sector Equality Duty</li> </ul>

## 15. Staffing

Trustees	Chief Executive and central trust team	Local Governing Body	Headteacher
<ul style="list-style-type: none"> <li>• are responsible for the recruitment and appointment of the Chief Executive and approving the appointment of their direct reports</li> </ul>	<ul style="list-style-type: none"> <li>• provides the Board and schools with professional HR support and advice relating to the recruitment of staff</li> <li>• in consultation with the LGB, are responsible for the recruitment and appointment of Headteachers</li> <li>• are responsible for recruitment to Central Team positions below Executive level</li> <li>• are responsible for monitoring the Central Team Single Central Record and statutory compliance with Safer Recruitment on behalf of the Board</li> <li>• supports the Headteacher by joining appointment panels or otherwise contributing to the appointment process of Deputy Headteachers</li> <li>• provides LGBs and schools with relevant data regarding staff recruitment and retention, including benchmarks where available, and supports and approves staff restructure plans</li> <li>• monitors staff absenteeism / staff turnover</li> <li>• responds to the results of an annual staff survey</li> <li>• monitors complaints and grievances</li> </ul>	<ul style="list-style-type: none"> <li>• contributes to the appointment of the Headteacher in close consultation with the Executive team</li> <li>• supports the Headteacher by joining appointment panels for senior leadership posts (and for posts below Deputy Headteacher when invited to do so) or otherwise contributing to the appointment process</li> <li>• stays fully aware of the school's activity in terms of its staff, staffing structures and more general HR data, and can support and challenge appropriately, using key performance data provided by the school and Central Office</li> <li>• is responsible for knowing and understanding the training requirements for safer recruitment including LGB members' training if taking part in recruitment processes.</li> <li>• supports the Headteacher with any staff restructure plans</li> <li>• monitors and, when appropriate, conducts staff exit interviews</li> </ul>	<ul style="list-style-type: none"> <li>• is responsible for the recruitment and appointment of school staff other than the Headteacher</li> <li>• is responsible for communicating senior staff appointments to the LGB</li> <li>• reports key performance information on recruitment and more general HR to the Central Team and the LGB</li> <li>• is responsible for the accuracy of the school's Single Central Record and statutory compliance with safer recruitment requirements.</li> <li>• consults with the LGB on staff restructure plans</li> <li>• monitors staff absenteeism and staff turnover</li> <li>• responds to the annual staff survey</li> </ul>

## 16. Staff professional development

Trustees	Chief Executive and central trust team	Local Governing Body	Headteacher
<ul style="list-style-type: none"> <li>• are accountable for ensuring the development of the 'People Development Strategy'</li> <li>• are responsible for ensuring resources are allocated to people development appropriately in line with the strategy</li> </ul>	<ul style="list-style-type: none"> <li>• are responsible for ensuring the development of the people development strategy</li> <li>• provides support for professional development, training, induction, talent management and succession planning across Unity Schools Partnership</li> <li>• leads the strategic vision and the overall staff development strategy</li> <li>• implements the agreed people strategy, including delivery (directly or via commissioned support) of professional development programmes.</li> </ul>	<ul style="list-style-type: none"> <li>• monitors the effectiveness of professional development, talent management and succession planning and supports and challenges appropriately.</li> </ul>	<ul style="list-style-type: none"> <li>• develops and implements the school's continuing professional development strategy, aligned with the School's Improvement Plan targeted to different groups of staff</li> <li>• reports on CPD and its impact to LGB and the Central Team</li> <li>• maintains a structured approach to talent management and succession planning</li> <li>• ensures staff are able to benefit from appropriate Trust-wide professional development opportunities, in line with talent management objectives</li> </ul>

## 17. Performance Management

The principle for approving pay increases is that a line manager recommends whether a pay increase is merited and the line manager's line manager decides whether to approve the recommendation

Trustees	Chief Executive and central trust team	Local Governing Body	Headteacher
<ul style="list-style-type: none"> <li>• are responsible for approving overall policies for performance management and pay</li> <li>• are directly responsible for the performance management of the Chief Executive</li> </ul>	<ul style="list-style-type: none"> <li>• develops overall policies for performance management and pay</li> <li>• are directly responsible for the performance management of the Headteacher and all Central Team staff (although Executive Headteachers may take responsibility for the performance management of some Headteachers)</li> <li>• approves recommendations by the Headteacher of pay rises that the Headteacher recommends</li> </ul>	<ul style="list-style-type: none"> <li>• supports the Headteacher in monitoring the performance management of staff</li> <li>• contributes to pay decisions as a member of the pay panel as required</li> <li>• contributes to performance management of the Headteacher</li> <li>• ensures individual governors take part in appeals as part of the grievance, capability and disciplinary process, including appeals over pay increases not being recommended</li> </ul>	<ul style="list-style-type: none"> <li>• implements Unity Schools Partnership performance management and pay policies for staff in school</li> <li>• provides reports on performance management to the LGB</li> <li>• ensures that approaches to pay and performance management are conducted in a manner that adheres to equality legislation requirements</li> <li>• approves recommendations by other senior members of staff about pay rises</li> </ul>

## 18. Finance

Trustees	Chief Executive and central trust team	Local Governing Body -	Headteacher -
<ul style="list-style-type: none"> <li>• are legally responsible for the charities’ assets and accounts and for statutory compliance</li> <li>• maintain robust financial oversight in accordance with the ESFA Academies financial handbook</li> <li>• review and support a rolling 3 year strategic vision for the finances of the Trust, the individual charities and the schools in accordance with the strategy</li> <li>• set the financial parameters for the schools’ budgets and 3 year forecasts and approve the consolidated position</li> <li>• develop and monitor the strategic plan for capital expenditure, considering all schools’ 3 Year Plans.</li> <li>• consider management accounts six times a year (Chair to consider on a monthly basis)</li> <li>• ensure an appropriate, reasonable and timely response to any findings given by auditors, taking the opportunity to strengthen the systems of financial management and control</li> <li>• Ensure that the external audit contract is retendered at least every 5 years, with Members to approve.</li> </ul>	<ul style="list-style-type: none"> <li>• are responsible for compliance with the ESFA Academies financial handbook</li> <li>• develops a rolling 3 year financial plan in accordance with the strategic vision</li> <li>• acts on behalf of the Board to discharge the duties in relation to statutory compliance and financial performance of the schools</li> <li>• provides accounting, financial planning, treasury and financial systems services for schools</li> <li>• works closely with the Headteacher and school in the preparation of the budget and 3 year forecast, setting national assumptions and reviewing and challenging locally set assumptions</li> <li>• prepares the consolidated charities Budgets for approval by the Board</li> <li>• monitors the school’s financial performance and challenges where there are variances to the budget and the 3-5 year forecast</li> <li>• co-operates with auditors and implements their reasonable recommendations</li> </ul>	<ul style="list-style-type: none"> <li>• receives summary management accounts monthly from the central team to ensure the LGB is fully aware of the school’s financial performance</li> <li>• challenges the school where there are variances to budget and the 3 year forecast</li> <li>• contributes to priorities, including the suitability of the staffing structure within the final budget and 3 year forecast</li> <li>• receives the draft budget and ensures it addresses and meets the needs of the academy, within the financial principles of the Trust</li> <li>• understands and evaluates the impact of restricted funds.</li> <li>• ensures pupil premium/ sports premium and catch-up funds are being spent appropriately.</li> <li>• reviews the opportunities for revenue generation.</li> </ul>	<ul style="list-style-type: none"> <li>• works closely with the LGB and central team executives in the preparation of the budget and 3 year forecast for approval by the Board</li> <li>• is responsible for controlling costs and ensuring budget commitments are met</li> <li>• is responsible, with the LGB, for developing capital expenditure priorities for submission in line with the school’s strategic plan</li> <li>• ensures compliance with all Trust financial policies and procedures</li> <li>• cooperates with auditors and implements their reasonable recommendations</li> <li>• recommends capital expenditure</li> </ul>



## 19. Health and Safety

Trustees	Chief Executive and central trust team	Local Governing Body	Headteacher
<ul style="list-style-type: none"> <li>• form the corporate body and serve as the employer under the Health and Safety at Work Act 1974</li> <li>• are responsible for setting overall policies for health and safety that are applicable to all Trust operations</li> <li>• periodically review organisational health and safety performance</li> <li>• ensure adequate resources are made available for the discharge of the employer’s health and safety duties</li> </ul>	<ul style="list-style-type: none"> <li>• appoints a competent health and safety professional to develop health and safety policies and monitor performance</li> <li>• defines the Trust’s risk appetite with regard to health and safety compliance</li> <li>• provides health and safety support to all schools and central office</li> <li>• holds schools accountable for health and safety expectations</li> <li>• provides central team staff with the training, information, instruction and supervision as necessary to meet statutory requirements and fulfil their duties in a safe manner</li> </ul>	<ul style="list-style-type: none"> <li>• may appoint a Governor to have specific responsibility for health and safety in the school, attending the school’s Health &amp; Safety Committee (where appropriate) and reporting to the full LGB as appropriate</li> <li>• monitors, supports and challenges the health and safety performance at the school</li> </ul>	<ul style="list-style-type: none"> <li>• is accountable for health and safety performance within the school</li> <li>• is responsible for the implementation of health and safety policies and for statutory compliance</li> <li>• ensures that all staff within their school have received sufficient training, information, instruction and supervision as necessary to meet statutory requirements and fulfil their duties in a safe manner</li> <li>• is responsible for providing reports on health and safety to the LGB</li> <li>• may delegate some health and safety responsibilities to a Health and Safety Coordinator in accordance with the Trust’s Health and Safety Policy</li> </ul>

## 20. Buildings and maintenance

Trustees	Chief Executive and central trust team	Local Governing Body	Headteacher
<ul style="list-style-type: none"> <li>• review and support the strategic plan for capital expenditure</li> </ul>	<ul style="list-style-type: none"> <li>• develops the wider estates policy in line with the charity’s strategy</li> <li>• provides the Board and schools with professional support, challenge and advice relating to the management of buildings and estates</li> <li>• are responsible for monitoring compliance with statutory regulations relating to premises and accommodation.</li> </ul>	<ul style="list-style-type: none"> <li>• monitors the impact of the strategic plan on the quality of the school’s buildings</li> <li>• is responsible, with the Headteacher, for developing priorities for capital expenditure and large scale ‘minor works’ in line with the strategic plan.</li> </ul>	<ul style="list-style-type: none"> <li>• is responsible for the maintenance of the school and facilities, with support from the central team</li> <li>• is responsible, with the LGB, for developing priorities for capital expenditure and large scale ‘minor works’ in line with the strategic plan.</li> <li>• develops curriculum-based business plans for capital projects</li> </ul>

## 21. Risk Management

Trustees	Chief Executive and central trust team	Local Governing Body	Headteacher
<ul style="list-style-type: none"> <li>• hold overall responsibility for the risk management framework</li> <li>• approve the Trust Risk Management Policy, including setting risk tolerances and determining risk appetite</li> <li>• consider the minutes of the Risk &amp; Audit Committee, including the committee’s review of the Strategic Risk Register, at each of its quarterly meetings and through an annual report from the Chair of the committee</li> <li>• understands the measures taken by the Trust to mitigate the issue of cybercrime.</li> </ul>	<ul style="list-style-type: none"> <li>• manages the strategic risks facing the organisation</li> <li>• drafts and implements a Trust Risk Management Policy</li> <li>• maintains, regularly reviews and updates the Trust Strategic Risk Register</li> <li>• audits school compliance with policy including the existence and regular review of the schools’ key risk register</li> <li>• ensures updated information on cybercrime is regularly shared across the trust</li> </ul>	<ul style="list-style-type: none"> <li>• oversees risk management at the local level, including regular reviews of the school’s risk register</li> <li>• receives and discusses issues arising from the termly TATS meetings</li> </ul>	<ul style="list-style-type: none"> <li>• is responsible for risk management at their school, including the maintenance and regular review of a school risk register by Senior Leaders</li> <li>• reports key issues from the Teams Around the School Meeting (TATS) to governors on a termly basis</li> </ul>